Todd Hurley, Director



City of Saint Paul Mayor Christopher B. Coleman

700 City Hall 15 West Kellogg Boulevard Saint Paul, Minnesota 55102-1658

Telephone: (651) 266-8800 Facsimile: (651) 266-8541

December 12, 2013

Dear Broker,

Should your organization intend to service investments for the City of Saint Paul in the year 2014, a broker certification form must be completed and returned to the City on or before December 31st, 2013. Please refer to the City's website at www.stpaul.gov to obtain all documents which are located under Government, Financial Services, Investor's Relations, Information for Investors.

Please read both the City of Saint Paul Investment Policy Statement and Minnesota Statutes 2009; Chapter 118A: Deposit and Investment of Local Public Funds. Proceed to the Brokers Certification Form where you will find the instructions to complete the form along with the 2014 form endorsed with my signature. Please print this form, have it endorsed by an authorized representative at your organization and return it by mail to:

City of Saint Paul Attention: Amanda Johnson 15 West Kellogg Blvd, Suite 700 Saint Paul, Minnesota 55102

Should you have any questions regarding the Broker Certifications please contact Diane Sweet at (651) 266-8813.

Cordially,

Todd P. Hurley

OFS Director, City of Saint Paul